

HKAS Arrangement for Accreditation of Certification Bodies for Transition from ISO 50001: 2011 to ISO 50001: 2018

Background

The 2018 version of ISO 50001 was published in August 2018. At its 31st General Assembly, the International Accreditation Forum (IAF) passed a resolution (2017-14) endorsing the three years period for transitioning of accredited certification to ISO 50001: 2018 until 21 August 2021. Accredited certificates for ISO 50001: 2011 issued after the date of publication of ISO 50001: 2018 shall state an expiry date of 21 August 2021.

HKAS transition arrangement

The transition assessment will include the following processes:

- 1) Review of transition gap analysis and related documentation;
- 2) Office assessment of certification body; and
- 3) Witnessing assessment

HKAS normally assesses a certification body's transition of its certification standard during a reassessment or surveillance visit. In general, the normal assessment mandays for a reassessment or surveillance visit case include the time spent on assessing the certification body's transition arrangement. However, extra assessment manday may be needed to cover activities arising from the transition arrangement including reviewing gap analysis documentation, examining records supporting the competence of the certification body's personnel in auditing and certification activities to ISO 50001: 2018 and the certification body's transition arrangement for its clients certified to ISO 50001: 2011.

Each accredited certification body shall submit an application (duly completed HKCAS 005 and HKCAS 007 together with the payment of appropriate application fee) for extension of its scope of accreditation to cover ISO 50001: 2018.

Arrangement for the transition assessment

In addition to the documents required by HKCAS 007, the certification body shall submit the gap analysis and related documentation, including the changes which have been made to the certification body's management system, details of the transition

arrangement, such as communication with its certified clients, and training materials and the process for confirming competence of personnel to HKAS Executive for review prior to the date of office assessment.

The certification body's audit selected for witnessing shall be conducted at a client who has implemented its energy management system to ISO 50001: 2018. The coverage of the audit witnessed (in terms of breadth and depth) shall be sufficient to demonstrate the certification body's competence in implementing the new version of the standard as well as the necessary audit planning and reporting activities. If there were insufficient evidence to demonstrate the certification body's competence in auditing to the ISO 50001: 2018, HKAS will require the certification body to arrange another audit for witnessing assessment.

Issuance of ISO 50001: 2018 certificates and the expiration of ISO 50001: 2011 certificates

As accredited certificates for ISO 50001: 2011 issued after the date of publication of ISO 50001: 2018 shall state an expiry date of 21 August 2021 in accordance with IAF Resolution 2017-14. The certification standard ISO 50001: 2011 will be deleted from the scope of accreditation of all certification bodies on 22 August 2021.

If an accredited certification body cannot obtain accreditation for its EnMS certification based on the certification standard ISO 50001: 2018 after the end of the 3-year transition period, HKAS Executive will initiate action to suspend the accreditation of the certification body for EnMS certification.

Timeframe for transition to ISO 50001: 2018 and the certification standard adopted for seeking HKAS accreditation for EnMS certification

1. Applicant certification body applying for initial accreditation for EnMS certification

Application for accreditation

Starting from 21 February 2019, HKAS Executive will accept applications for accreditation for EnMS certification to ISO 50001: 2018. HKAS Executive recommends applicants to adopt ISO 50001: 2018 when applying for HKAS accreditation for EnMS certification. Application for accreditation for EnMS certification to ISO 50001: 2011 will be accepted up to 20 May 2019 only.

Application based on ISO 50001: 2011

Accreditation for EnMS certification to ISO 50001: 2011 will be granted when the applicant certification body has demonstrated its ability to deliver effective certification against the requirements of ISO 50001: 2011. In addition, the applicant certification body shall rectify, if any, all critical and significant nonconformities to the satisfaction of HKAS Executive and shall commit to rectify, if any, all minor nonconformities prior to the next reassessment.

To ensure a smooth transition of accreditation for EnMS certification of to ISO 50001: 2018, each new certification body accredited for certification to ISO 50001: 2011 shall prepare a transition plan detailing the changes to its management system and the associated time frame for implementation of the changes. The transition plan shall be submitted to HKAS Executive within one month from the date on which accreditation was granted to the certification body.

If accreditation for certification to ISO 50001: 2011 cannot be granted to an applicant certification body within one year after the date of receipt of the application, HKAS Executive will suspend processing the application. The applicant certification body shall submit a new application for accreditation for EnMS certification to ISO 50001: 2018 together with the required application fee to HKAS Executive for processing.

Application based on ISO 50001: 2018

Accreditation for EnMS certification to ISO 50001: 2018 will be granted when the applicant certification body has demonstrated its ability to deliver effective certification against the requirements of ISO 50001: 2018. In addition, the applicant certification body shall rectify, if any, all critical and significant nonconformities to the satisfaction of HKAS Executive and shall commit to rectify, if any, all minor nonconformities prior to the next reassessment.

2. Accredited certification body for EnMS certification to ISO 50001: 2011

To ensure a smooth transition of accreditation for EnMS certification to ISO 50001: 2018, a certification body accredited for EnMS certification to ISO 50001: 2011 shall prepare a transition plan detailing the changes to their management system and the associated timeframe for implementation of the changes. The transition plan shall be submitted to HKAS Executive not later than 31 March 2019. To allow sufficient time for the certification body to conduct audits of their clients against ISO 50001:

2018, each accredited certification body shall obtain HKAS accreditation to ISO 50001: 2018 not later than 21 February 2020.

HKAS Executive

21 February 2019